

Attorney's Docket No. 80398.P420

2131  
#5  
SP  
07-11-03  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )

Brant Candelore )

Application No.: 09/993,781 )

Filed: November 13, 2001 )

For: Secure Transactions Using )  
Cryptographic Processes )

Examiner: Not yet assigned

Art Unit: 2131

RECEIVED

JUL 07 2003

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 7-1-03.

(Date of Deposit)

Barbara Herz

(Typed or printed name of person mailing correspondence)

[Signature]

(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

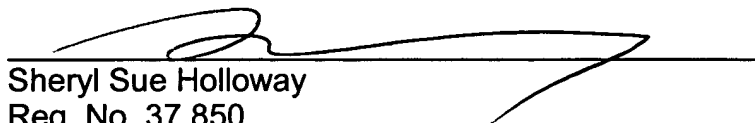
- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
- ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
  - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.  
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7/1, 2003

  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

|  |   |                        |                   |                          |  |
|--|---|------------------------|-------------------|--------------------------|--|
| <b>Substitute for Form 1449A/PTO (Modified)</b><br><h2 style="text-align: center;">INFORMATION DISCLOSURE</h2> <h3 style="text-align: center;">STATEMENT BY APPLICANT</h3> <p style="text-align: center; font-size: small;">(use as many sheets as necessary)</p> <div style="position: absolute; left: 0; top: 50px; transform: rotate(-90deg); font-size: x-small;">             JUL 03 2003<br/>             RECEIVED<br/>             64 BK. OFFICE           </div> |   |                        |                   | <b>Complete if Known</b> |  |
|  |   | Application Number     | 09/993,781        |                          |  |
|  |   | Filing Date            | November 13, 2001 |                          |  |
|  |   | First Named Inventor:  | Brant Candelore   |                          |  |
|  |   | Group Art Unit         | 2131              |                          |  |
|  |   | Examiner Name          | Unassigned        |                          |  |
|  |   | Attorney Docket Number | 80398.P420        |                          |  |
| Sheet  | 1 | of                     | 2                 |                          |  |

| U.S. PATENT DOCUMENTS |                       |                          |                 |   |  |   |
|-----------------------|-----------------------|--------------------------|-----------------|---|--|---|
| Exam. Initial*        | Cite No. <sup>1</sup> | U.S. Patent Document     |                 | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |                       | Number Code <sup>2</sup> | Kind (If known) |   |  |   |
|                       |                       | 4,582,985                |                 | Lofberg   | 4/15/86  | RECEIVED  |
|                       |                       | 5,615,277                |                 | Hoffman   | 3/25/97  |   |
|                       |                       | 5,623,552                |                 | Lane  | 4/22/97  | JUL 07 2003   |
|                       |                       | 5,815,665                |                 | Teper, et al.                                   | 9/29/98  |   |
|                       |                       | 5,878,139                |                 | Rosen   | 3/2/99   | Technology Center 2100  |
|                       |                       | 5,990,804                |                 | Koyama  | 11/23/99   |   |
|                       |                       | 6,002,787                |                 | Takhar, et al.                                  | 12/14/99   |   |
|                       |                       | 6,119,096                |                 | Mann, et al.                                    | 9/12/00  |   |
|                       |                       | 6,148,241                |                 | Ludtke, et al.                                  | 11/14/00   |   |
|                       |                       | 6,356,905                | B1              | Gershman, et al.                                | 3/12/02  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |
|                       |                       |                          |                 |   |  |   |

| FOREIGN PATENT DOCUMENTS |                       |                         |                     |                                   |   |  |   |                |
|--------------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
| Exam. Initial*           | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (If known) |   |  |   |                |
|                          |                       | PCT                     | WO 95/13591         |                                   | Jasper Consulting, Inc.                         | 5/18/95  |   |                |
|                          |                       | TW                      | 351799              |                                   | Swisscom AG                                     | 2/1/99   |   | X              |
|                          |                       |                         |                     |                                   |   |  |   |                |
|                          |                       |                         |                     |                                   |   |  |   |                |
|                          |                       |                         |                     |                                   |   |  |   |                |
|                          |                       |                         |                     |                                   |   |  |   |                |
|                          |                       |                         |                     |                                   |   |  |   |                |
|                          |                       |                         |                     |                                   |   |  |   |                |
|                          |                       |                         |                     |                                   |   |  |   |                |
|                          |                       |                         |                     |                                   |   |  |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

|   |                      |  |   |                        |                   |
|---|----------------------|--|---|------------------------|-------------------|
| Substitute for Form 1449A/PTO (Modified)  |                      |  |   | Complete if Known      |                   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary)<br>JUL 03 2003<br>PATENT & TRADEMARK OFFICE |                      |  |   | Application Number     | 09/993,781        |
|   |                      |  |   | Filing Date            | November 13, 2001 |
|   |                      |  |   | First Named Inventor:  | Brant Candelore   |
|   |                      |  |   | Group Art Unit         | 2131              |
|   |                      |  |   | Examiner Name          | Unassigned        |
| Sheet   | 2                    | of   | 2 | Attorney Docket Number | 80398.P420        |
| <b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>   |                      |  |   |                        |                   |
| Examiner Initials*  | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |   |                        | T <sup>2</sup>    |
|   |                      | Pack, "Traditional Retailers Plan Interactive Stores", Orlando Sentinel, May 25, 1999.   |   |                        |                   |
|   |                      | Tryllian Mobile Agents: Going Beyond the Web, A Commercial White Paper, Version 1.0- May 18, 2000, p. 1-21.  |   |                        |                   |
|   |                      | Alan Neibauer, "Running Microsoft Outlook 98", Microsoft Press, p. 44-55 (Copyright 1998).   |   |                        |                   |
|   |                      | About Gossip, <a href="http://www.Tryllian.com">http://www.Tryllian.com</a> including Quickstart Guide, Products and Downloads (6 pgs) (Copyright 1999-2000).  |   |                        |                   |
|   |                      | Alan Freedman, The Computer Desktop Encyclopedia (2 <sup>nd</sup> Ed.), pp. 16, 86,1029, The Computer Language Company Inc., Point Pleasant, PA (Copyright 1999).  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |
|   |                      |  |   |                        |                   |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

RECEIVED

JUL 07 2003

Technology Center 2100

WIPO ST.16 Kind Codes

Kind of document

Comments

|               |  |  |
|---------------|--|--|
| A1            | Patent Application Publication                               | Pre-grant publication available March 2001                                   |
| A2            | Patent Application Publication (Republication)               | Pre-grant publication available March 2001                                   |
| A9            | Patent Application Publication (Corrected Publication)       | Pre-grant publication available March 2001                                   |
| B1            | Patent   | No previously published pre-grant publication                                |
| B2            | Patent   | Having a previously published pre-grant publication and available March 2001 |
| C1, C2, C3... | Reexamination Certificate                                    | Previously used codes B1 and B2 are now used for granted Patents             |
| E             | Reissue Patent   | No change  |
| H             | Statutory Invention Registration (SIR)                       | No change  |
| P1            | Plant Patent Application Publication                         | Pre-grant publication available March 2001                                   |
| P2            | Plant Patent   | No previously published pre-grant publication                                |
| P3            | Plant Patent   | Having a previously published pre-grant publication and available March 2001 |
| P4            | Plant Patent Application Publication (Republication)         | Pre-grant publication available after March 2001                             |
| P9            | Plant Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001                                   |
| S             | Design Patent  | No change  |

